# FINA 3332/SECTION 10259

# PRINCIPLES OF FINANCIAL MANAGEMENT

**FALL 2013, MW 10 AM-11:30 AM, ROOM TBA**

**Instructor: CHARLES GUEZ, Ph.D.**

**Office: 302 G, UCBB**

**Office Hours: By Appointment**

**Email:** [**guezclion@aol.com**](mailto:guezclion@aol.com)

1. **REQUIRED READING**:

**Book: 1. Fundamentals of Corporate Finance**

**Ross, Westerfield, Jordan, 10th Ed.**

**McGraw-Hill/Irwin**

**2. Wall Street Journal, MUST read everyday**

**REQUIRED TOOL: BRING FINANCIAL CALCULATOR TO EVERY CLASS, INCLUDING EXAMS. BRING RED SCANTRON FOR ALL EXAMS.**

1. **COURSE OBJECTIVES : Primary objective is to introduce the students to the tools used by firms to make optimal financial decisions. ( Capital budgeting, Capital Structure, Dividends).**
2. **Academic Honesty**:

The University of Houston Academic Honesty Policy is strictly enforced by the C. T. Bauer College of Business.  No violations of this policy will be tolerated in this course.  A discussion of the policy is included in the University of Houston Student Handbook, <http://www.uh.edu/dos/hdbk/acad/achonpol.html>. Students are expected to be familiar with this policy.

1. **Accommodations for Students with Disabilities**:

The C. T. Bauer College of Business would like to help students who have disabilities achieve their highest potential. To this end, in order to receive academic accommodations, students must register with the Center for Students with Disabilities (CSD) (telephone 713-743-5400), and present approved accommodation documentation to their instructors in a timely manner.

1. **STUDENT EVALUATION**
2. **Exams**: (3 mid-term exams @ 100 points each (300 points).

Exams will be multiple choice questions including theory as well as problems**.** Will cover most recent material covered from book and class.

1. **Project: ( 1** project- 100 points):

Groups of 4 students will be formed. Each group will select a Research topic (submit topic by September 9). Reports are due and presented the last day of class. Reports limited to 5 pages.

1. **Professional Conduct:**

In accordance with the University’s Student Handbook, students in this class are expected to conduct themselves in a manner that is conducive to a learning environment (<http://www.uh.edu/dos/hdbk/slpolicies/expectations.html>). The contribution and professional conduct grade in this class is based upon the following tenets of the Handbook:

* **Be respectful:** Respect the learning/classroom environment and the dignity and rights of all persons. Be tolerant of differing opinions.
* **Be Courteous/Considerate:** Extend courtesy in discourse. Please do not interrupt speakers. Avoid distractions such as cell phones, beepers, and irrelevant discussions.
* **Be informed:** Familiarize yourself with the course and instructor expectations. Read the course Syllabus and other information posted on Blackboard.
* **Be punctual:** Attend classes regularly and on time. Instead of closing your notebook early, listen carefully to information given near the end of class; summary statements and instructions may be important. Moreover, leaving class early distracts from a lecture.
* **Be Participative/Curious:** Contribute and participate in class discussions; display interest during class by raising thoughtful and relevant questions that enrich discussions.
* **Be communicative:** Interact with the instructor and discuss assignments, grading and subject matter. Express complaints and concerns in a calm and respectful manner.

1. **Assignment of Grades**

Your grade in this course will be based upon the total number of points you earn during the semester. The following distribution for the assignment of grades will be strictly adhered to.

A 400-370 C+ 319-307

A- 369-360 C 306-290

B+ 359-347 C- 289-280

B 346-330 D 279-240

B- 329-320 F 230-0

1. **Appendix:**

After the Schedule on page 4, an appendix lists the objectives of the class. Please read it carefully.

This list was prepared by Dr. Ramchand, Dean of the Bauer College of Business.

**SCHEDULE**

8/26/13 ORIENTATION

8/28/13 CHAP 1: INTRODUCTION

9/2/13 LABOR DAY, NO CLASS

9/4/13 CHAP 2: FINANCIAL STATEMENTS AND CASH FLOW

9/9/13 CHAP 2: FINANCIAL STATEMENTS AND CASH FLOW

9/11/13 CHAP 3: FINANCIAL STATEMENTS ANALYSIS

9/16/18 CHAP 5: DCF

9/18/13 CHAP 6: DCF

9/23/13 CHAP 6: DCF

9/25/13 CHAP9: NPV and OTHER INVESTMENT CRITERIA

9/30/13 EXAM 1

10/2/13 CHAP 7: INTEREST RATES AND BOND VALUATION

10/7/13 CHAP 7: INTEREST RATES AND BOND VALUATION

10/9/13 CHAP 7: INTEREST RATES AND BOND VALUATION

10/14/13 CHAP 8: STOCK VALUATION

10/16/13 CHAP 8: STOCK VALUATION

10/21/13 CHAP 8: STOCK VALUATION

10/23/13 REVIEW FOR EXAM

10/28/13 EXAM 2

10/30/13 CHAP 14: COST OF CAPITAL

11/4/13 CHAP 14: COST OF CAPITAL

11/6/13 CHAP 15: RAISING CAPITAL

11/11/13 CHAP 16: FINANCIAL LEVERAGE AND CAPITAL STRUCTURE

11/13/13 CHAP 16: FINANCIAL LEVERAGE AND CAPITAL STRUCTURE

11/18/13 CHAP 16: FINANCIAL LEVERAGE AND CAPITAL STRUCTURE

11/20/13 CHAP 17: DIVIDENDS

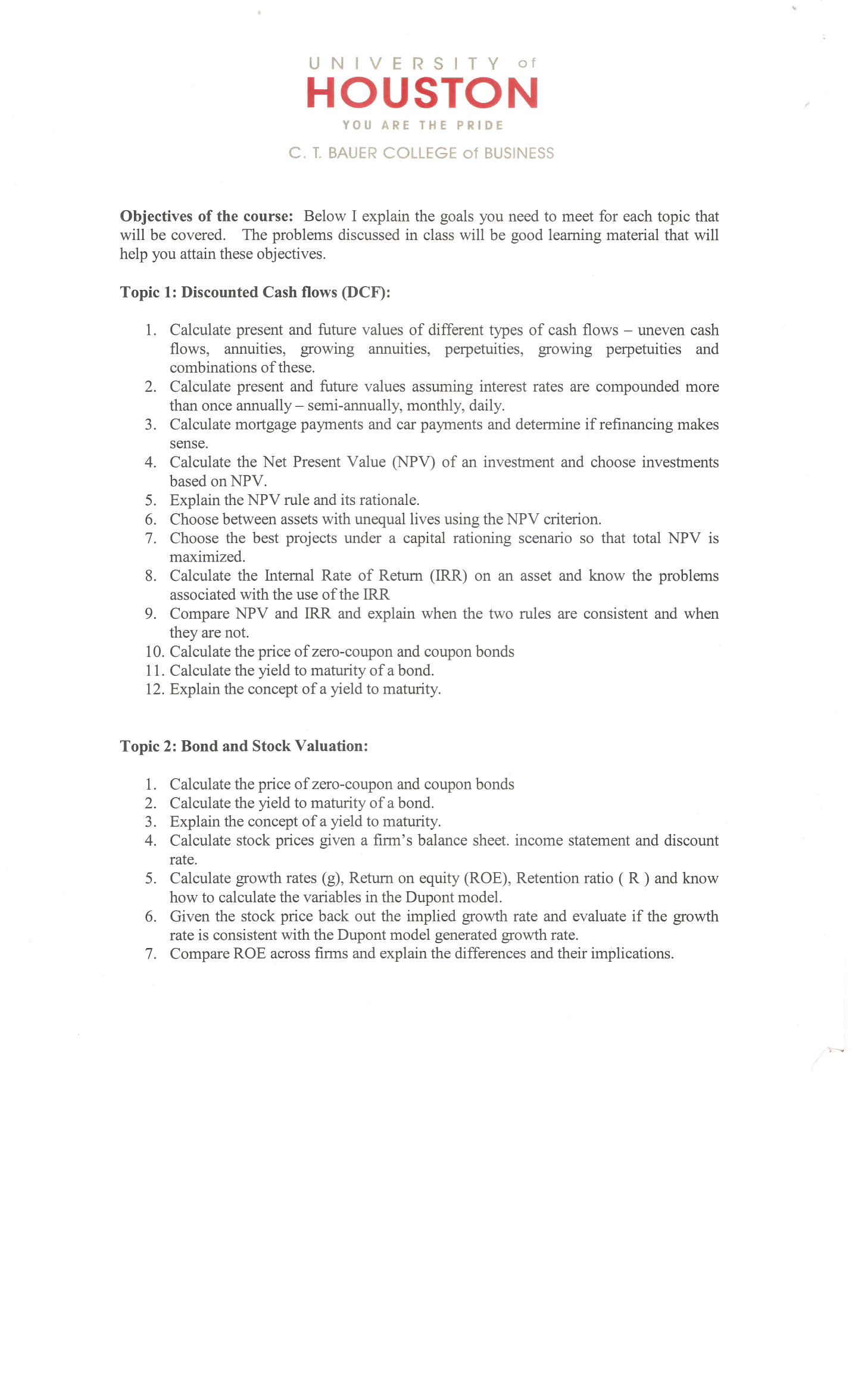
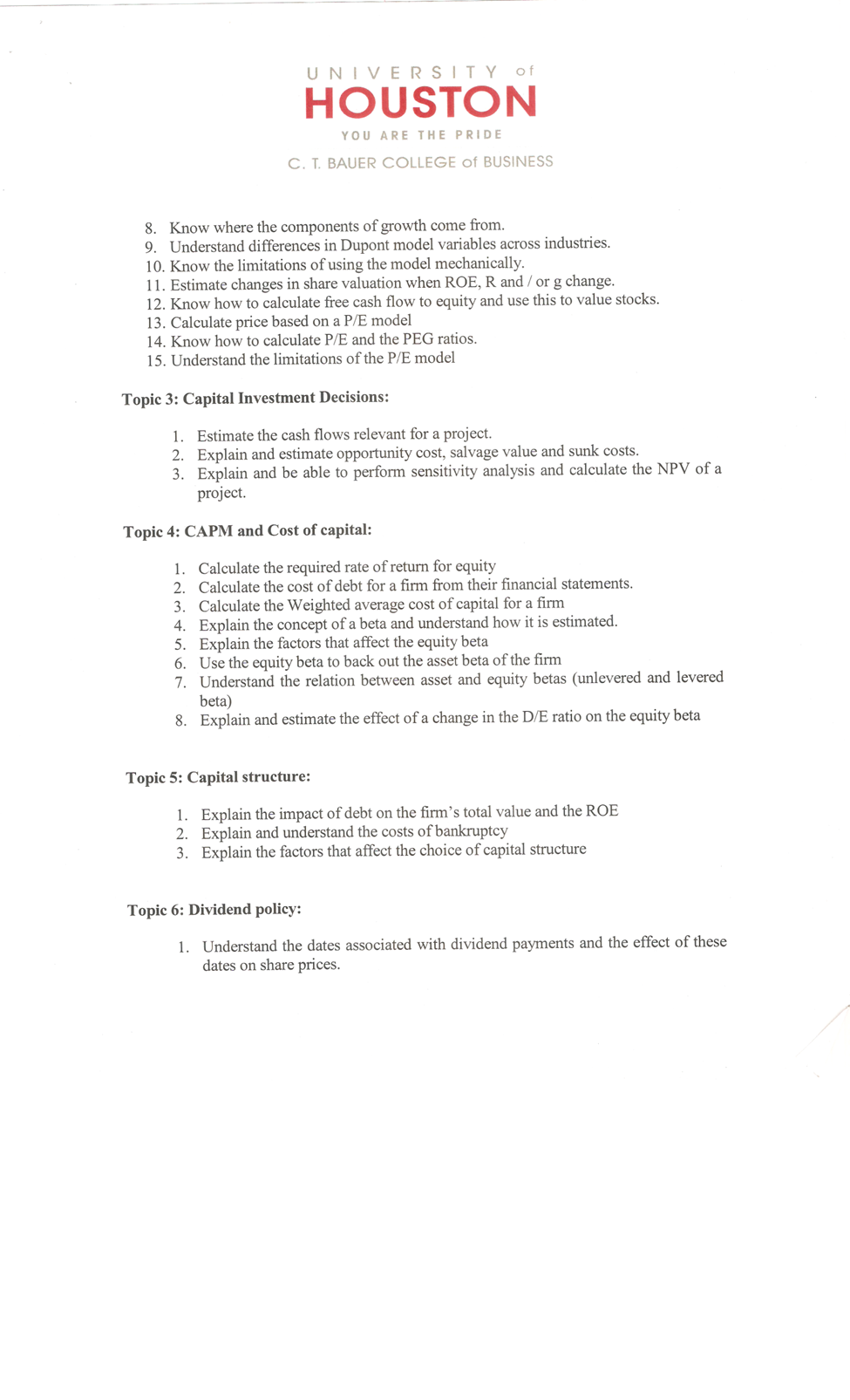
11/25/13 CHAP 17: DIVIDENDS

11/27/13 THANKSGIVING, NO CLASS

12/2/13 EXAM 3

12/4/13 REPORT DUE

**This Syllabus may be modified as needed during the semester. Any changes will be posted on Blackboard.**

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